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<b>(21) International Application Number:</b> PCT/IL00/00112 <b>(22) International Filing Date:</b> 22 February 2000 (22.02.00)  <b>(30) Priority Data:</b> 60/121,237                      22 February 1999 (22.02.99)                      US  <b>(71) Applicant (for all designated States except US):</b> YISSUM RESEARCH DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM [IL/IL]; P.O. Box 4279, 91042 Jerusalem (IL).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> YITZCHAIK, Shlomo [IL/IL]; Davidson Street 6/1, 93708 Jerusalem (IL). SHAPIR, Joseph [IL/IL]; Hermon Street 30, 90805 Mevasseret Zion (IL). SPIRA, Micha, E. [IL/IL]; Malki Street 7, Yemin Moshe, 94102 Jerusalem (IL).  <b>(74) Agent:</b> REINHOLD COHN AND PARTNERS; P.O. Box 4060, 61040 Tel-Aviv (IL).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> A HYBRID ELECTRICAL DEVICE WITH BIOLOGICAL COMPONENTS  <b>(57) Abstract</b> <p>The present invention concerns an electrical junction between one transistor and at least one voltage sensitive cell such as a neuron. The invention further concerns transistors to be used in said junction and methods for their preparation. By another aspect the invention concerns "an artificial chemical synapse" i.e. a junction between a cell, which secretes an agent, and a transistor bearing receptors for the agent, wherein binding of the agent to the receptor changes an electrical property off the transistor.</p> <div data-bbox="500 1113 1343 1608" data-label="Diagram"> </div>		

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## A HYBRID ELECTRICAL DEVICE WITH BIOLOGICAL COMPONENTS

### FIELD OF THE INVENTION

This invention is generally in the field of bio-molecular electronics, and relates to electrical devices with biological components.

### BACKGROUND OF THE INVENTION

5       The following publications are believed to be relevant to the Background section of the specification.

1. Fromherz, P., "Interfacing Neurons and Silicon by Electrical Induction", *Ber. Bunsenges. Phys. Chem.*, **100**:1093-1102 (1996).
- 10 2. Stett, A., Möller, B., Fromherz, P., "Two-way Neuron-Silicon Interface by Electrical Induction", *Phys. Rev. B.*, **55**:1779-1781 (1997).
3. Offenhausser, A., *et al.*, "Neuronal Cells Cultured on Modified Microelectronic Device Surfaces", *J. Vac. Soc. Technol. A.*, **13**(5):2606-2612  
15 (1995).
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- 20 5. Stett, A., Möller, B., Fromherz, P., "Two-way Neuron-Silicon Interface by Electrical Induction", *Phys. Rev. B.*, **55**:1779-1781 (1997).
6. Matsuzawa, M., Umemura, K., Beyer, D., Sugioka, K., Knoll, W.,  
25 "Micropatterning of Neurons using Organic Substances in Culture", *Thin Solid Films*, **305**:74-79 (1997).
7. Dulcey, C.S., Georger, J.H., Krauthamer, V., Stenger, D.A., Fare, T.L., Calvert, J.M., *Science*, **252**: 551 (1991).

- 2 -

8. (a) Cohen, R., Zenou, N., Cahen, D., Yitzchaik, S., "Molecular Electronic Tuning of Si Surfaces" *Chem. Phys. Lett.*, 279:270-274 (1997);
- 5 (b) Zenou, N., Zelichenok, A., Yitzchaik, S., Cohen, R., Cahen, D., "Tuning the electronic properties of silicon via molecular self-assembly" in "Thin Organic Films", C.W. Frank - Ed., *ACS Symp. Ser.*, 695:57-66 (1998).
- 10 9. Yitzchaik, S., Marks, T.J., "Chromophoric Self-Assembled Superlattices", *Acc. Chem. Res.*, 29:197-202 (1996) and references therein.
10. 08/857,769 of May 1997.
- 15 11. U.S. Patent No. 5,156,918.
12. Surplice, N. A.; D'Archy, R. J. *J. Phys. E: Sc. Instr.* 1970, 3, 477-482

Interaction between neurons and electronic devices have been in existence  
20 for several decades for a plurality of purposes. During the past decades, these interactions were usually achieved by inserting an electrode or an array of electrodes into the neurons or placing an electrode or an array of electrodes in the vicinity of the neurons' membranes so as to detect voltage changes. The detection electrode or array of electrodes can also be used for the stimulation of neurons.

25 With the growing body of knowledge concerning transistors and semi-conductors there have been several attempts directed at the coupling the two types of information flow: electron conduction in solids (achieved by the transistor), and ion conduction in aqueous environments (carried out by the neurons). However, the coupling between the transistors and the neurons suffered from a series of problems  
30 including basic scientific problems as well as technological difficulties. Direct coupling of neurons to enhancement type MOS transistors requires the application of a DC bias between the biological solution and the transistor substrate in order to create a conducting channel. The combination of the DC bias, the biological ionic solution and the transistor, is a potential source for a series of degradation processes  
35 resulting from leakage currents, heat generation, electrochemical corrosion and

- 3 -

ionic drift instabilities. All this will eventually lead to damage of the neuron and/or the transistor.

The publication of Stett *et al.* (Ref. 2) describes a nerve cell which is placed on a combined microstructure of an insulated spot of doped silicon and an insulated-gate field effect transistor. The neuron was placed on the transistor without any adhesive material. Voltage pulses are applied by the insulated spot to the neuron through capacitive coupling. They elicit neuronal activity which in turn can be detected by the transistor. The article describes a bi-directional interface between the ionics of the neuron and the electronics of the silicon, achieved by two separate modalities. Here, however two separate locations on the silicon chip spaced-apart in a horizontal plane are used, namely, one for sensing of neuronal activity achieved by an insulated-gate field effect transistor, and the other for capacitive simulation of neuron activity achieved by an insulated spot of doped silicon. This approach of two separate locations, one for the neuron activation and one for sensing its activity, imposes several limitations in multi neuron -multi transistor systems, as follows:

- it requires accurate positioning of each neuron on the electronic circuit;
- it increases the number of electronic connections to a given neuron system;
- and
- it requires larger area of the electronic system.

It is highly desirable to provide coupling between neurons, (and other voltage sensitive cells) and electrical devices both for the purpose of detecting electrical activity in these cells, and for stimulating the cells via said electrical devices. The coupling should be such which allows the detection and stimulation by use of a relatively simple electronic structure, and in addition, the electrical structure should be bio-compatible and the mode of its coupling should be such as not to produce the electrochemical changes and toxic substances which are harmful to live cells.

The term "*chemical synapses*", refers to a junction between two neurons wherein the axon terminals of a pre-synaptic cell, containing a vesicle filled with a

- 4 -

particular neurotransmitter substance, are in close vicinity to the membranes of a post synaptic cell. When the nerve impulse reaches the axon terminal the vesicles are exocytosed releasing their neurotransmitter components into the synaptic cleft which is the narrow space between the pre-synaptic and the post synaptic cell. The transmitter diffuses across the synaptic cleft, and then binds to receptors on the post synaptic cells. Upon binding, the neurotransmitter induces a change in the ionic permeability of the post synaptic membrane that results in the disturbance of the electrical potential at this point. If the electrical disturbance is sufficiently high it can induce an action potential, or a muscle contraction (where the cell is a muscle), or alternatively, may be sufficient to trigger release of hormones from gland cells.

Although the chemical synapse site is a major component in the modulation of neuronal activity, said modulation effecting properties such as memory, learning, degradation due to various neurodegenerative diseases, the physiological phenomena of the synapse was studied and utilized mainly in biological systems.

Possible means for communication between nerve cells and transistors may be polarizable molecules. Such molecules are described in Ref. 9. Furthermore, U.S. Patent Application 08/857,769, May 1997, and U.S. Patent 5,156,918 concern methods for forming a polymeric structure composed of two or more discrete monolayers wherein at least one layer is composed of polycyclic aromatic molecules with a defined Z-axis oriented substantially normal to the plane or at an angle close to normal, up to ca. 45°. Ref. 8 further addresses the effects of polarizable molecules on the electronic properties of silicon.

## GLOSSARY

*"Voltage sensitive cell (VSC)"* – a cell in which normal physiological activity is modulated by voltage changes across its membrane. Typical examples are neurons, muscle cells and cells of glands which secrete hormones as a result of voltage change.

**"Electrical junction"** – a functional connection between a single transistor and at least one VSC enabling signal transfer in at least one direction, either from the transistor to the VSC, or from the VSC to the transistor through capacitive coupling.

5

**"DC bias"** – the voltage applied between the biological solution in which the VSC is embedded and the transistor substrate, which sets the transistor ready for sensing the VSC activity (i.e., "opens" the transistor).

**"External surface of the transistor"** - – the outer surface of an uppermost,  
10 insulating layer covering the active component of the transistor.

**"Binding moieties"** - refers to molecules which may be of a biological or non-biological origin which can bind to components present on the membrane of the VSC. By a preferred embodiment, the moieties form together with components  
15 present on the membrane of the VSC, *"a specific pair-forming group"* (see below).

For example, where the membranal component is an antigenic epitope, the binding moiety is an antibody, where the membranal component is a receptor, the binding moiety is its specific ligand, or an adhesion moiety capable of *"affinity binding"* (see below) thereto, where the membranal component is a glycoprotein, the binding  
20 moiety is a lectin, etc. By other embodiments, the binding of the binding moieties to the membranal component is by non-affinity bindings such as by hydrophobic interactions due to hydrogen bonds or due to van der-Wallce interactions. It should be noted that this term does not necessarily refer to the full molecule which interacts with the VSCs membranal component, and may only refer to the region of  
25 the full molecule which binds to said membranal component. For example, where the membranal component is a receptor, the binding moiety may be only a sequence of the adhesion molecule which specifically binds to said receptor.

**"A specific pair forming group"** - two biological molecules which are capable of  
30 *affinity binding* (see below) to each other. Each member of the group is capable of

- 6 -

identifying and interacting with its specific counter partner form among similar molecules of other species. For example, if a pair forming group is an antibody and its specific antigen, then the antibody is capable of specifically discriminating and interacting with the specific antigen, while not interacting with similar antigens  
5 present in the environment.

**"Affinity binding"** – refers to the specific non-covalent interaction between two members of a specific pair forming group.

10 **"Hyper-polarizable chromophores"** - are typically aromatic molecules characterized in that that they contain an electron donating moiety, an electron withdrawing moiety separated by a  $\pi$ -bridge. The family includes also chromophores with high field polarization properties, i.e. nth order hyper-polarizable chromophores. They are sometimes referred to as voltage  
15 sensitive dyes which have a positively charged chromophore and a negatively charged counter-ion which is ionically bound to the chromophore. The classical voltage-sensitive dye respond to voltage pulses by electrochromism, i.e. upon excitation, the molecules undergoes a shift of the positive pole. In a hyper-polyrizable chromophore, an electrical excitation from an action potential of  
20 a nerve cell modulates the distribution of the  $\pi$ -electrons, i.e. the charge distribution along the molecule which leads to a large change in the dipole moment of the molecule. Both, the anion flipping and the change in the dipole moment effect the transistor to which these hyper-polarizable chromophores are attached.

25 **"Floating gate"** – an insulated electrode of a MOS transistor on which an electric field is applied, thereby inducing an electric field to the active component of the transistor through capacitive coupling.

**"Depletion type device"** - an insulated-gate field-effect transistor in which free  
30 carriers are present in the channel (active component) when the gate-source voltage



- 7 -

is zero. Channel conductivity thus exists at zero voltage between gate and source and is controlled by changing the magnitude and polarity of the gate voltage. A depletion type device is normally-on. For the normally-on depletion device, a current can flow at a zero gate potential, and the current can be increased or  
5 decreased by varying the gate voltage.

**"Spacer"** – A molecule or a group of molecules used to bridge the gap between the surface of the transistor and the VSC so as to minimize the *"shunt"* caused by the electrolyte containing solution. The spacers of the present invention may be attached  
10 to hyper-polarized chromophores so as to bridge the varying distances between the surface of the transistor and the VSC so that when the electrical junction is formed, the space between the surface of the transistor and the uneven surface of the membrane of the VSC is bridged by the spacer and the conjugated hyper-polarizable chromophore, notwithstanding the fact that the membrane itself  
15 is uneven so that the spacer has varying dimensions at different regions. The spacers may also be used to place the binding moieties at varying distances from the transistor surface so that the binding moiety may bind to membranal components on the VSC notwithstanding that its components are at varying distances from the surface of the transistor.

20 By another alternative, the spacer may be used by itself so as to simply *"close"* the gap between the surface of the transistor and the VSC so as to minimize the shunt. Typically, the length of the spacer ranges from 1 nm to 30 nm. Typically the spacer should be any inert molecule such as oligosaccharides, straight hydrocarbons, branched hydrocarbons, peptides, etc. A spacer may also be a  
25 combination of one of the above-mentioned inert molecules bound to a hyper-polarizable molecule. Alternatively, the spacer may be made of multi-layers of chromophores or dendritic structures of chromophores, thus the spacer is an *"active"* component.

**"Agent secreting cell"** – a cell which normal biological activity is secretion of agents to the extracellular environment. Examples of agent secreting cells are neurons which secrete neurotransmitters, gland cells which secrete hormones and the like.

5

**"Agent secreting region of the cell"** – the region of the agent secreting cells from which the agent is secreted. Where the agent secreting cell is a neuron, this region is the pre-synaptic region.

10 **"Electrochemical junction"** – refers to a functional connection between an agent secreting cell and a transistor. The agent secreting cell should be positioned in such an orientation so that the agent secreted therefrom can reach the transistor. While by one embodiment the orientation may be adjacent positioning, such as in a chemical synapse where the cells and the transistor are adjacent, by other  
15 embodiments the orientation may be non-adjacent, for example, if the transistor is placed inside a body, and the blood circulation may bring agent secreted from the agent secreting cell present at a distanced location to the transistor. The transistor has immobilized thereon recognition moieties which are capable of *affinity binding* to the secreted agent. The affinity binding between the recognition moiety and the  
20 agent causes a change of at least one electrochemical property of the transistor such as capacitance.

**"Recognition moieties"** – biological molecules capable of forming a specific pair forming group with the secreted agent. Typically, where the secreted agent is a  
25 neurotransmitter or a hormone, the recognition moieties are the receptors for the neurotransmitter or the hormone, respectively.

**"Catalytic moieties"** – molecules having an activity which discontinue the affinity binding between the recognition molecule and the agent, for example, by  
30 degradation of the agent.

## SUMMARY OF THE INVENTION

By one of its aspects, the present invention concerns an electrical junction between a single transistor and at least one voltage sensitive cell (VSC). The electrical junction of the invention typically shows at least one of the following  
5 advantageous characteristics:

It enables bi-directional voltage transfer between the transistor and the VSC. This means, that by utilization of a single transistor, it is possible both to detect voltage changes from the VSC (for example to detect neuronal activity in neurons) and using the same modality also to stimulate the VSC by capacitance changes of  
10 voltage.

The junction of the present invention can also be characterized in that it does not need a DC bias to be applied between the transistor and the solution containing the VSC. This means, that the VSC is not under a constant stimulation by a DC voltage application. Typically, living cells deteriorate after a prolong application of  
15 voltage to their members, and thus an electrical junction without a DC voltage bias enables to maintain the VSC in a viable form for prolonged periods of time.

The omission of the DC bias means also that there is significantly less risk for electrochemical corrosion of the transistor through reaction with the ionic biological solution.

20 By another characterizing property the electrical junction of the invention enables the anchoring of the VSC to the external surface of the transistor by binding moieties, which are conjugated at one end to the external surface of the transistor, and at the other end are capable of affinity binding with membranal component on the VSC membrane. These binding moieties significantly decrease  
25 the size of the cleft between the membrane of the VSC and the external surface of the transistor, thus minimizing the "shunt" of the electrical caused by the aqueous solution present in said cleft. Optionally, the closure of the "shunt" may be improved by use of spacers.

Another possible characterizing feature of the electrical junction of the  
30 invention is that the voltage transfer between the membrane of the VSC and the

- 10 -

external surface of the transistor, in both directions, is mediated by hyper-polarizable chromophore.

Thus, the present invention concerns an electrical junction between one transistor and at least one voltage-sensitive cell (VSC) characterized by at least one  
5 of the features selected from the group consisting of:

- (i) voltage transfer between the transistor and the VSC is bi-directional;
- (ii) there is no DC bias between the transistor and the solution containing the VSC;
- (iii) the VSC is anchored to the external surface of the transistor by  
10 binding moieties, optionally through spacers;
- (iv) the voltage transfer between the membrane of the VSC and the external surface of the transistor, and between the external surface of the transistor and the membrane of the VSC is mediated by a hyper-polarizable chromophore;
- 15 (v) a combination of two or more of the features of (i) to (iv).

The electrical junction of the invention enables through formation of a transistor neuron hybrid the coupling between electrical devices and voltage sensitive cells (neurons, muscle cells and gland cells) for various utilities as follows:

- 20 (1) It may be used as an *in vivo* sensor in order to detect electrical activity in voltage sensitive cells, (such as neurons);
- (2) It may be used *in vivo* in order to stimulate nerve cells or muscle cells, for example for stimulation of muscle cells of paralyzed limbs in order to achieve some sort of movement of the muscles even if  
25 they do not receive neuronal input from motor neurons;
- (3) It may be used both for detection of neuronal pulses and stimulation of voltage sensitive cells. For example where a neuron or muscle activated prosthesis is used. In such a case, it is necessary to stimulate nerve cells or the muscle cells in order to produce  
30 movement in the prosthesis. And in addition it may be desirable to

- 11 -

record from other nerve cells or muscle cells in order to receive a feedback information concerning the position, and movement thereof in order to enable coordinated movements and correction of mistakes and this enabling smooth movement of the prosthesis.

5 (4) The transistor-neuron hybrid may be used in various artificial sensing devices, such as devices which have an ability to sense light ("artificial eye") or sound ("artificial ear"). This will enable to activate regions of the central nervous system connected to sight or hearing by stimulating these regions with light or sound input  
10 obtained from electrical devices (such as a camera or a microphone) so as to enable processing of the artificially produced information by the central nervous system. The transistor-neuron hybrid may be used to record impulses from sensing organs themselves (the eye or the ear) and transfer the electrical output to electrical devices, such as  
15 computers, capable of processing the information, for example, in cases where the sensing organ (eye) is functional but the visual cortex region which should have processed the visual information is impaired.

(5) By another option, the transistor-neuron hybrid will enable the  
20 creation of a "brain-computer" hybrid structure which will enable new breakthroughs in calculation and intelligence processing using both the computational power of an electrical computer and the flexibility and adjustability properties of a biological central nervous system.

25 The bi-directional voltage transfer between the VSC and the transistor is achieved by the use of a depletion type transistor with floating gate for both the neuron sensing and stimulation purposes. The depletion type doping level is such that the application of voltage required for the stimulation of the VSC, will not deplete the channel. This doping level is calculated by the use of the well known  
30 equation describing the relation between junction depletion layer charge and the

- 12 -

applied voltage. If minimum channel length device is used, it may be possible to obtain even higher stimulation voltages. This is due to lateral bridging of the source and drain depletion layers, thus screening the depletion type channel from the substrate.

5 The use of a floating gate configuration eliminates the sensitivity of the transistor output signal to the exact location of the VSC on the MOS channel, leading to significantly narrower distribution of the signals that have to be detected.

It should be clear that bi-direction signal transfer utilizes the same location on a semiconductor device (a single transistor) for both the stimulation and the  
10 sensing. However, two locally adjacent identical transistors can be utilized with the same VSC, one for stimulation and the second for sensing. The use of a depletion type transistor, which is normally-on, enables to eliminate the need for any DC bias between the transistor and the solution containing the VSC. Thus, electrical signal coming from the VSC through the binding moieties induces a gate voltage which  
15 affects the surface potential of the active component, thereby increasing or decreasing a current passing the active component between source and drain electrodes. The transistor thereby serving as a sensor. To stimulate the VSC activity, the source is disconnected from its supply, and the neuron stimulation voltage is applied to the drain. As a result, the source, channel and drain will be at the same  
20 voltage and through the capacitive coupling to the floating gate and the neuron, will activate the latter. It should be mentioned that the roles of the source and drain can be exchanged in the stimulation process.

The switching of the source and drain during the stimulation process may be performed by utilizing two transistors, each of regular enhancement type, on both  
25 sides of the depletion type transistor. These regular type transistors are associated with the source and drain, respectively, of the depletion type transistor.

The transistor structure is provided with an additional electrostatic screening layer, e.g., gold layer, which covers the entire circuit area except for openings above the floating gates. This enables to reduce noise to such low levels that will  
30 enable reliable detection of the neuron signals on one hand and prevent unintended

- 13 -

activation of the VSC on the other hand. Furthermore, the existence of the screening which is kept at the potential of the biological solution, further reduces the risk of electrochemical corrosion processes.

The anchoring of the VSC to the external surface of the transistor can be  
5 achieved by a plurality of binding moieties such as antibodies, receptors, ligands, lectins and adhesion molecules. Where the VSC is a neuron, typically the biological binding molecules are adhesion molecules such as small molecular weight peptides derived from the neurite promoting domains of laminine (an extracellular matrix protein) and in particular two well studied synthetic peptides, 8 and 10 amino-acids  
10 each having the following sequences:

Lys-Val-Ala-Val-Ser-Ala-Asp-Arg ;and

Cys-Ser-Arg-Ala-Arg-Lys-Gin-Ala-Ala-Ser; [PA 22-2 and P 20-GC – Ref.6].

Where the VSC is a neuron, the binding moieties may be used not only to anchor the VSC to the surface of the transistor, but also to direct the growth of the  
15 neuron to the proper location in the transistor, and repulse its growth from an undesired location. This regulated growth may be achieved by conjugating to the surface of the transistor alternating micro strips of growth promoting molecules such as polylysines, and growth repulsive molecules such as collapsin. These micro strips will ensure that the actin-based motility of the growth will be directed to the  
20 desired location on the surface of the transistor.

The voltage transfer between the membrane of the VSC and the external surface of the transmitter may be mediated by hyper-polarizable chromophores. These chromophores are very sensitive to the electrical signals of a nerve cell where such signals cause a change in the dipole moment and charge density  
25 distribution in these chromophores. These changes cause a change in the surface potential of the transistor to which these chromophores are attached.

By a preferred embodiment the electrical junction of the invention features all of the above characteristics, i.e. it enables bi-directional voltage transfer between the VSC and the transistor with no DC bias between the two; the VSC is

- 14 -

anchored to the surface of the transistor by biological binding moieties; and the voltage transfer in both direction is mediated by voltage sensitive dye.

By a preferred embodiment the transistor of the junction utilizes silicon-based integrated technology, but may alternatively utilize any other  
5 semiconductor structure, e.g. GaAs-based.

By another aspect of the present invention, the invention concerns an array of at least two electrical junctions. Each electrical junction may be designed as described above, namely a single transistor for a single neuron. Alternatively, at least two locally adjacent transistors may be associated with a common neuron, in  
10 which case one of the transistors may serve for VSC stimulation, and the other for sensing purposes.

By another aspect, the invention concerns a hybrid electronic device comprising the junction of the invention. The transistor is a depletion type device with a floating gate capacitance coupled to a VSC. A power supply maintains  
15 potential difference between source and drain electrodes. By maintaining this potential difference constant, the transistor operates as a sensor for detecting signals coming from the VSC through the current changes caused by this coming signal. By replacing this potential difference by a high voltage applied to the source, channel, and drain of the transistor, it acts as a stimulator of the VSC activity.  
20 Preferably, an additional metal layer is used for screening purposes. Selective-sites for the neurons are provided by proper openings in the screening metal layer and by using controlled substrate-neuron linking chemistry.

Thus, the present invention provides an advanced MOS-FET structure, which has larger tolerance for the placement of the VSC over the MOS-FET device  
25 by using floating gate devices, eliminates the need for DC bias by using depletion type devices, and reduces the noise level as well as minimize corrosion processes by a screening technique and selective-sites for the neurons, using controlled substrate-neuron linking chemistry.

The device of the invention may also be used to detect voltage changes from  
30 a plurality of VSC and/or to transfer voltage changes to a plurality of VSCs and in



- 15 -

that case it should comprise the array of the invention wherein each transistor in the array is electrically coupled as described above.

By another embodiment the transistor may have immobilized on its external surface by binding moieties as described above. The transistor may also have  
5 immobilized on its surface various growth promoting and growth repulsive molecules, such as those described above in order to regulate the growth of the VSC, and notably the neuron only to regions of the transistor wherein electrical coupling is desired.

Alternatively, or in addition to having immobilized thereon-binding  
10 moieties, the transistor of the invention may have immobilized thereon hyper-polarizable chromophores. The attachment is done by chemical reaction on the outer layer of the transistor forming monolayer multi-layers or dendrimers of polarizable chromophores with polar ordering (all the di-poles are pointed either toward or away from the surface).

15 Since the surface of the VSC is not smooth, the space between said surface and the flat surface of the transistor is irregular. In order to decrease to a minimum the "shunt" produced by the electrolyte-containing solution present in that space, it is desired to partially seal that space. Therefore, it is desired to attach either to the hyper-polarizable chromophore, or to the binding moieties on spacers of varying  
20 length, or alternatively, produce hyper-polarizable chromophores of varying length so that notwithstanding the fact that some regions of the VSC membrane are at different distances from the transistor surface, essentially all these distances are bridged by the spacer and the hyper-polarizable chromophore; spacer and binding moiety; or hyper-polarizable chromophores of varying lengths. Alternatively, the  
25 spacers may be used by itself to close the gap, i.e. without any binding moieties or hyper-polarizable chromophores provide a closure of the cleft.

Typically, the length of the spacer should vary from about between 1 nm to 30 nm.

The spacers may be constructed in a "tree like" form wherein various  
30 "branches" of the tree are at varying lengths from the surface of the transistor.

- 16 -

Alternatively, the spacers or the varying length hyper-polarizable chromophores may be positioned as essentially straight molecules of varying length arranged at a spatial arrangement so that in each region there are a plurality of spacers or hyper-polarizable chromophores of different lengths. Examples of molecules which  
5 are suitable to be used as spacers are inert molecules terminated with chemical functionality capable of anchoring polarizable chromophores, e.g. alkylhalide, benzylhalide, acylhalide, amine, active ester, etc, oligosaccharides, straight or branched hydrocarbon molecules, polymers, poly(4-vinylpyridine) and poly(4-chloromethylstyrene).

10 By a third aspect, the present invention concerns methods for the production of any one of the above transistors.

By one embodiment, the method for the production of a transistor utilizing fabrication of a MOS-FET device in a semiconductor substrate, wherein the external surface of said device is patterned to define selective sites for at least one  
15 VSC, said sites being displaced in a horizontal plane with respect to a location of an active component of the device.

By another aspect the present invention concerns an electrochemical junction between a cell which secretes an agent and a transistor. This electrochemical junction is in fact "*artificial chemical synapse*" wherein the pre-synaptic  
20 region is of a live biological secreting cell, while the "*post synaptic*" region is a transistor. The principle of the artificial chemical synapse is that the post synaptic transistor bears on its surface recognition moieties, such as receptors which are capable of binding of the secreted agent. The binding of the agent to the recognition moiety changes at least one electrical property of the transistor which  
25 can be measured. Typically, the electrical property is changed di-pole moment of the recognition moiety which changes as a result of the secreted agent. Alternatively, or in addition, the change in electrical property may be a change in capacitance of the molecules pressed on the surface of the transistor.

The electrochemical junction of the invention, also comprises a catalytic  
30 moiety, such as an enzyme which can terminate that binding between the secreted

- 17 -

agent and its recognition moiety. For example, where the catalytic moiety is an enzyme capable of degradation of the secreted agent, it will quickly eliminate the secreted agents present in the space between the agent secreting cell and the surface of the transmitter, and thus shift the equilibrium of the binding of the agent to its  
5 recognition, so that the agents are detached from the recognition moiety and subsequently also degradation. This catalytic activity enables to *"initialize"* or *"regenerate"* the transistor very quickly back to a situation where its recognition moieties are unbound and thus capable of sensing other concentrations of secreted agents. The catalytic moiety in fact enable to monitor essentially *"on-line"*  
10 fluctuations in the concentration or presence of the secreted agent in the sample.

Thus, the present invention concerns an electrochemical junction between an agent-secreting cell and a transistor comprising:

the agent-secreting region of the cell positioned at an orientation enabling transfer of the agent to a location on the surface of the transistor, said location  
15 having immobilized thereon recognition moieties capable of affinity binding to said agents; said binding between the recognition moiety and the agent causing the modulation of at least one electrochemical property of the transistor; said location further comprising catalytic moieties capable of degradation of said agent.

Where the agent secreting cell is a neuron, the secreted agent is a  
20 neurotransmitter and the agent secreting region of the cell is a pre-synaptic region of the neuron. The orientation of the agent secreting region in respect to the transistor should be either such which enables transfer due to the fact that the two are adjacent, or alternatively, they may be distanced from each other and the circulation such as the blood may bring the secreted agent into the vicinity of the  
25 transistor.

## BRIEF DESCRIPTION OF THE DRAWINGS

In order to understand the invention and to see how it may be carried out in practice, a preferred embodiment will now be described, by way of non-limiting example only, with reference to the accompanying drawings, in which:

Fig. 1 schematically illustrates the main constructional parts a hybrid device according to the invention;

Fig. 2A is a top view of the hybrid device of the present invention, more specifically illustrating the components of a MOS-FET structure;

5 Figs. 2B to 2E cross sections of the device of Fig. 2B, taken along lines A-A, B-B, C-C and D-D, respectively;

Figs. 3A and 3B schematically illustrate main operational principles of the device of Fig. 2A;

10 Fig. 4 illustrates one possible example of an electronic scheme utilizing the device of Fig. 2A;

Fig. 5: (A) is a schematic demonstration of the hyper-polarizable chromophores building blocks, A – the electron acceptor part; D – the electron donor part; and Z – the bridging moiety. (B) a general formula representing hyper-polarizable chromophores.

15 Fig. 6 illustrates the synthetic scheme for the self-assembly of a hyper-polarizable chromophore of the outer surface of a semiconductor.

Fig. 7. illustrates the synthetic scheme for the attachment of a 10 amino acid cysteine terminated peptide to the surface of the transistor and

20 Fig. 8 shows the change in three parameters of Electronic Properties of n-Silicon as a function of the Surface Number Densities of the Voltage-Sensitive Dye of Fig. 5.

## DETAILED DESCRIPTION OF THE INVENTION

### I. ELECTRONICS

Referring to Fig. 1, there is illustrated a hybrid device 10 according to the  
25 invention. The device 10 is composed of a MOS-FET structure 12 coupled to a neuron 14 (constituting a VSC) through binding moieties 16. The operation of the device 10 presents an electrical junction between an active component (not shown here) of the transistor 12 and the neuron 14. Biological binding moieties 16 serve for anchoring the VSC 14 to the external surface 12A of the transistor structure 12.

To this end, binding moieties **16** are conjugated at one end to the external surface of the transistor, and at the other end are capable of affinity binding with a membranal component on the VSC membrane **14A**, as will be described more specifically further below.

5 Figs. 2A-2E show more specifically the relative disposition of the constructional elements of the device **10**. The transistor structure **12** is a floating gate, depletion type device. An active component **20** with a channel implant **21** is formed in a semiconductor (e.g., Si) substrate **22**, and source and drain electrodes **24A** and **24B** make contacts to the active component **20**. The active component of  
10 the depletion type device is typically a semiconductor structure having at least one conducting zone (or layer), and is manufactured by integrated circuits technology. A floating gate **26** is typically an insulated electrode, insulated from the active component by a thin insulating layer **L<sub>1</sub>** (e.g., SiO<sub>2</sub>) and insulated from the neuron by another insulating layer **L<sub>2</sub>**, whose outer surface presents an external  
15 surface **12A** of the device to which the neuron **14** is coupled.

As clearly seen in the figures, the floating gate **26** is shaped such as to have its one portion **26A** located in the vicinity of the active area **20**, and by its other portion **26B** located outside the active area underneath a neuron site **15**. This design enables to displace the neuron site from the active area, thereby increasing  
20 signal-to-noise ratio of the device operation. Additionally, this enables to locate a screening metal layer **28** above the active area. This increases even more the signal-to-noise ratio.

Turning now to Figs. 3A and 3B, there is shown that the hybrid device **10** presents an electrical circuit of five capacitance, wherein **C<sub>ch</sub>** is the channel gate  
25 capacitance, **C<sub>F</sub>** is the overlapping capacitance of the floating gate and the semiconductor substrate over thick oxide layer, **C<sub>M</sub>** is the capacitance between the floating gate and the grounding shield (screening electrode), **C<sub>N</sub>** is the capacitance between the neuron membrane and the floating gate, **C<sub>P</sub>** is the capacitance of the neuron membrane portion with the area equal to that of **C<sub>N</sub>**, **R<sub>S</sub>** is a solution  
30 shunting resistance.

- 20 -

The device 10 operates in the following manner. At a sensing operational stage, a certain constant small potential difference of about 0.1V is maintained between the source and drain of the transistor, thereby providing direct current flowing through the active component. Once the neuron is stimulated, its action potential affects the polarization of binding molecules, which in turn induces an electric field affecting the surface potential of the active component, thereby causing changes in the current, which can be detected. At a stimulating stage, the source is disconnected from the external circuit, i.e. is floating, and the stimulation voltage is applied to the drain. Under these conditions, the source, channel and drain, all receive the same stimulating voltage. Through the floating gate capacitive coupling and the induced changes in the molecular polarization, the neuron is stimulated.

Typical values for the different areas, oxide thicknesses and capacitance values are presented in Table I below. Also given in the table is the capacitance  $C_p$  of the neuron membrane. It is based on the assumption of about 50Å neuron membrane thickness and a dielectric constant of about 2. The  $\text{SiO}_2$  dielectric constant is 3.9.

TABLE I

	$A[\mu\text{m}^2]$	$t[\text{\AA}]$	$C[\text{fF}]$
$C_{ch}$	4	500	3
$C_F$	60	10,000	2
$C_M$	10	10,000	0.035
$C_N$	25	100	90
$C_p$	25	? 50	88

The correlation between the floating gate potential  $V_{FG}$  and neuron action potential at the onset of  $V_A$  is given by:

$$V_{FG} = \frac{C_s}{C_s + C_{ch} + C_F + C_M} V_A \approx 0.9 V_A$$

- 21 -

where  $C_S$  is the series connection of  $C_N$  and  $C_P$  for the values of Table I; and  $V_{FG} = 0.47V_A$ . Assuming  $V_A = 90$  mV, one obtains that the floating gate voltage, is  $V_{FG} = 81$  mV.

The MOS device will be of the n-channel depletion type obtained by  
5 implanting arsenic in the channel with a dose of  $10^{12}$  cm<sup>2</sup>. To a first order approximation, the stand-by current  $i_0$  in the device is given by:

$$i_0 = \frac{W}{L} q \cdot n_0 \mu_e V_{DS}$$

Assuming the following:

$$\frac{W}{L} = \frac{2\mu m}{2\mu m}; V_{DS} = 1V \text{ and } \mu_e \approx 500 \text{ cm}^2/V \cdot \text{sec}$$

10 we obtain that the stand-by current is:  $i_0 = 8\mu A$ .

The application of voltage signal -  $V_{FG}$  to the floating gate will cause a current signal in the MOS transistor given by:

$$i_s = \frac{W}{L} C_{ox} \mu_e V_{FG} V_{DS}$$

Substituting:

$$15 \quad V_{FG} = 81mV$$

we obtain that  $i_s = 2.9\mu A$ .

This is the current signal obtained when the neuron is activated in the case of strong coupling. The shunting resistance of the ionic solution will cause the decay of  $i_s$  with a time constant of:

$$20 \quad \tau = R_S (C_S + C_{Ch} + C_F + C_M)$$

$R_S$  varies with the distance  $d$  of the neuron from the top oxide. Assuming  $d = 100\text{\AA}$  and a solution resistivity of  $1000\Omega \cdot \text{cm}$ , one obtains:

$$R_S = \frac{W}{L \cdot d} \rho = \frac{\rho}{d} = 10^9 \Omega$$

and consequently  $\tau = 50\mu\text{sec}$ . As will be explained further below, the addition of the  
25 molecular amplifiers will not only increase the signal level, but will increase also  $R_S$  and  $\tau$ , thus eliminating the effect of RC on the detected signal.

- 22 -

There are three sources of noise in the device:

**Thermal noise:**

$$\langle V_n^2 \rangle = 4kTB \cdot R, \quad \langle i_n^2 \rangle = \frac{4kTB}{R}$$

Here, B is the bandwidth assumed to be approximately 20KHz, based on the fact  
5 that the neural signals have milliseconds time constants; R is the channel resistance.

Assuming a device with the channel width W and length L as follows:

W=L=2mm,  $\mu_e \approx 500 \text{ cm}^2/\text{Vsec}$ ,  $n_o = 10^{12} \text{ cm}^{-2}$  and  $kT = 0.026 \text{ eV}$ ,  $q = 1.6 \cdot 10^{-19} \text{ C}$ :

$$R = \frac{1}{500 \cdot 1.6 \cdot 10^{-19} \cdot 10^{12}} = 62.5 \text{ k}\Omega$$

$$\langle i_n^2 \rangle = \frac{4 \cdot 0.026 \cdot 1.6 \cdot 10^{-19} \cdot 2 \cdot 10^4}{62.5 \cdot 10^3} = 5.1 \cdot 10^{-21} \text{ Amp}^2$$

$$i_{nT} = \sqrt{\langle i_n^2 \rangle} = 7.1 \cdot 10^{-11} \text{ Amp}$$

10 **Shot noise:**

$$\langle i_n^2 \rangle = 2qBi_o \quad ; \text{for } i_o = 8 \mu\text{A}$$

$$\langle i_n^2 \rangle = 2 \cdot 1.6 \cdot 10^{-19} \times 2 \cdot 10^4 \times 8 \cdot 10^{-6} = 5.1 \cdot 10^{-20} \text{ Amp}^2$$

$$i_{ns} = \sqrt{\langle i_n^2 \rangle} = 2.3 \cdot 10^{-10} \text{ Amp}$$

15 **1/f noise:**

This is caused by surface effects in MOS devices. In our case, the channel is mostly a “buried channel”, due to the arsenic implant. As such, the carriers are not located directly at the surface, but somewhat deeper in the semiconductor. Furthermore, the fact that a depletion type device is used with no DC bias between  
20 the solution and the silicon, results in practically no electric field in the oxide on top of the floating gate. Consequently, tunneling currents which are known to cause 1/f noise will be absent. It is therefore expected that the 1/f noise will be low, as compared to that of a conventional MOS device. Altogether it is expected that the



measured current signal of about  $2.9\mu\text{A}$  will be much higher than the three noise sources.

The direct coupling of the neuron to the MOS transistor suffers from several drawbacks:

- 5        1)     Uncontrolled distance and contact area between the two. This will affect both the coupling of the neuron action potential ( $V_A$ ) to the MOS device, as well as the value of the shunting resistance ( $R_S$ ) and the resulting signal decay time.
- 2)     The exposure of the device to the ionic solution may cause uncontrolled ionic currents and device degradation.
- 10      3)     In the case of synaptic coupling to the MOS device the signal levels can be lower by up-to two orders of magnitude. Consequently, the system may fail on the basis of signal-to-noise ratio.

In order to overcome these obstacles, the present invention incorporates molecular amplifiers (voltage-sensitive dyes) and neuro-compatible interface  
15 (peptides and disaccharides) which will enhance the measured signal through different mechanisms:

- a)     *Molecular-affinity derived coupling of neurons to the MOS transistor.* Neural growth and adhesion factors will affix the neuron-membrane to the device surface.
- 20      b)     *Eliminating the shunting effect of the solution.* The effective coupling of the neurons to the transistor and the filling of the space between the silicon top surface and the neurons by the binding moities, will remove the ionic solution from this interface.
- c)     *Enhancement of the device sensitivity by molecular amplifiers that*  
25    respond in charge-induction at the floating gate electrode.

Reference is now made to Fig. 4, showing an electronic scheme, generally at  
30, utilizing the device of the present invention in a neuron network configuration. This is an example of a small array enabling the two-way interaction. The scheme 30 is composed of an array of four elements  $E_1$ - $E_4$ , each comprising three  
30 transistors  $T_1$ - $T_3$  in series. The intermediate transistor  $T_2$  is the floating gate

- 24 -

depletion type device 12 described above with the neuron 14 on top of its floating gate. The two transistors  $T_1$  and  $T_3$  are regular enhancement type devices coupled to the source and drain of the transistor  $T_2$ , and serve as electronic switches.

Each of the elements operates in the following manner. During the sensing stage, the transistors  $T_1$  and  $T_3$  are turned on by high gate voltages (input circuits  $S_n$  and  $A_n$  are at high voltage). Since they are much wider than the sensing transistor  $T_2$ , they are practically shorted, and effects produced thereby are thus negligible. The detected signal (the current flowing through the active component of the transistor  $T_2$ ) is measured on the output  $D_n$  of one of these regular type transistors.

During the stimulation stage, one of the transistors  $T_1$  and  $T_3$  is again shorted, while the other is in off state by applying a zero gate voltage. In the present example, the input  $S_n$  of the transistor  $T_1$  is at high voltage, and the input  $A_n$  of the transistor  $T_3$  is at zero voltage. When a high voltage pulse is applied to the source of the shorted transistor ( $T_1$ ), it will reach the source/drain/depletion channel of the transistor  $T_2$ , and consequently will activate the neuron through the capacitive coupling of the floating gate.

As further shown in Fig. 4 in dashed lines, more than one transistor can be used with a common neuron. To this end, the neuron 14, whose membrane is coupled to the floating gate depletion type transistor  $T_2$  of the element  $E_3$ , is by its axon connected to the similar transistor of the element  $E_1$ .

Comparing the above scheme to that disclosed in the above-indicated article, the depletion type channel in the device of the present invention serves the same function as the  $p$ -region in the prior art device. However, according to the present invention, this  $p$ -region is not heavily doped, but lightly doped and as such that its conductivity can be modulated by the neuron action potential.

### Example 1: Silicon-based transistor device fabrication

The process for fabrication of the transistor is based on eight masking steps, using the *floating gate* concept. It was calculated that by optimization of the device structure, it is possible to allow relatively large displacement of about several

- 25 -

micrometers of the two elements, i.e. neuron and the channel, with no reduction in the electrical signal. For the configuration shown in Fig. 1, it was calculated that the signal will be reduced as compared to direct accurate placement of the neuron on the exposed gate oxide, by less than a factor of two. One of the advantages of embedding the floating gate in the Si oxide layer on top of which the neuron is bound via the appropriate biological binding moieties, is that the effects are averaged over the complete channel area. The only effect of the floating gate on the transistor-neuron coupling is one of change in effective dielectric thickness. Addition of gold metal layer, used for electrostatic screening of the transistor connectors from the neural system, reduces the noise to such low levels that more than compensate for the reduced signal. A minimum dimension device is used with low drain voltage to reduce power consumption resulting in reduced heating. Proper channel implant results in depletion type device capable of detecting both positive and negative neuronal signals as well as activation of the neuron by a signal applied to the channel which is capacitively coupled to the neuron.

**Example 2: GaAs-based device fabrication**

Being a versatile substrate, GaAs permits the application of various kinds of coupling. This allows alternative chemistries to be investigated. Highly sensitive, single GaAs transistors with separate anchoring sites for binding moieties can be used to benchmark the neuro-electronic synapse on their silicon counterparts and to set an internal standard regarding noise levels. Shallow multiple quantum wells (MQW) are also used as highly sensitive affinity sensors. The adsorption of molecules onto the surface of the transistor causes a change in bandbending and in electron affinity and can also shift the fermi level. Adsorption therefore modulates the transport properties of the quantum wells. However, to this end, the fermi level has to be de-pinned first.

**Example 3: Fabrication of arrays**

Using the fact that the technology of integrated circuits allows for simultaneous fabrication of a large number of devices, an array of devices can include some switching devices which are added which allow for two way

- 26 -

neuron-transistor interaction, i.e., the transistors will be used both for sensing neuron activity by detection of voltage changes as well as for triggering the firing of action potentials by applying voltage changes to the neurons. The use of a high density transistor array will allow also to tolerate improper alignment of the  
5 neurons to the individual transistor devices since it is relatively easy to electronically change the addressing of the devices.

Turning back to Fig. 4, showing a schematic layout of a small array enabling the two-way interaction, the preferred technology for the realization of such an array including peripheral circuitry performing wide range of electronic  
10 functions, is a modified CMOS process. By the addition of one masking step used for ion implant in the channel of the depletion type device, and by replacing the top metallization layer with gold, it will make the standard CMOS process suitable for the realization of the invention.

**Example 4: Design of transistor interface**

15 For reasons clarified below, the design of the interface includes both an array of transistor interfaces and driving electronics, implemented on the same substrates. The preferred approach is similar to that of memory and imaging chips where the array is at the center of the chip and the following electronic functions are placed around the array:

- 20 - synchronous communication interface to program a decoder;
- a n-bit decoder that addresses the various neurons of the array;
- voltage and current references;
- clock signal generator;
- low noise amplifiers;
- 25 - AD converter. As will be understood the type of converter that is used depend on the size of the array, the required resolution and conversion rate and the available power budget;
- self test circuitry to verify the functionality of the electronics;
- a stimulus generator;

- 27 -

- logic circuitry that configures the array in measurement or stimulation mode.

The layout of the chip is of paramount importance to ensure that the circuit can be efficiently protected against the bathing fluid. Layout depends on the size of the array and the type of packaging. The functions described here above will be available in a "kitpart" version of the complete read-out chip.

## II. SURFACE CHEMISTRY

### Example 5: Manufacturing strategy

The synthetic strategy for the covalent attachment of hyper-polarizable chromophore is shown in Fig. 6. In the first step (i) a  $\text{SiX}_3\text{RX}$  moiety (silanizing reagent), where X is a halogen and R is a  $(\text{CH}_2)_n$   $n = 1-18$  or  $(\text{CH}_2)_n\text{aryl}$ ,  $n = 1-6$  is attached to the outer OH groups of the silicone wafer employing the trichlorosilane coupling reaction. In the second step (ii) the hyper-polarizable chromophore is anchored to the RX portion of the attached silanizing moiety. The anchoring/quaternization process converts the chromophore precursor into the highly hyper-polarizable voltage-active chromophore. Since the hyper-polarizable chromophores of the present invention may contain a hydroxyl or amino outer surface (Fig. 5), the exposed hydroxyalkyl or aminoalkyl functionalities can be subsequently used to modify the interface and further serve as basis for the construction of an additional layer. Thus in the third step (iii), an additional ion blocking network or bio-active agents such as short peptides are further attached to the exposed amino or hydroxyalkyl functionalities.

The synthetic flexibility of such monolayers is based on the fact that the cation is covalently anchored to the surface and the anion is labile and susceptible to ion exchange chemistry. The addition of an ion blocking network, generically termed as a capping reaction described in step (iii) is to prevent ion-leakage. An example of such a capping moiety is a siloxane which its exposed OH groups could further be coupled with oligosaccharides which serve as means for adhesion and fixation of a nerve cell to the device.

**Example 6: Utilizing binding moieties to anchor the VSC to the transistor****(a) Short Peptides**

During the development of a nervous system, neurons grow by exploring  
5 their microenvironment using growth cones and form stereotype neural  
architecture. They selectively grow along certain pathways by recognizing  
specific molecular sites through their cellular receptors. Laminine, an  
extracellular matrix protein is known to regulate the neural adhesion and neurite  
outgrowth via receptor mediated interactions. Due to the fact that laminine and  
10 other proteins are large molecules (MW of about 1,000,000) the direct assembly  
of this protein does not assure the neurite promoting domain to face the growth  
surface. Thus smaller molecular weight peptides derived from the neurite  
promoting domains of laminine are used. Two well-studied peptides (8 and 10  
amino-acids each): PA22-2 and P20-GCV may be used as well as other peptides,  
15 especially those derived from other macromolecular moieties present on the  
extracellular matrix or membranes which VSC's usually adhere.

Binding of each of these peptides is done by a different chemical route  
taking advantage of the terminal lysine and cysteine of the 8 and 10 amino acid  
peptides, respectively. Fig. 7 illustrates the chemical route for the attachment of  
20 the cysteine-terminated peptide. Thus the peptide is anchored to the surface after  
an alkyl or benzyl halide is coupled to the silicone surface (step (i)) of figure 6.  
The halide is further reacted with a maleimido group which reacts with the  
terminal SH group of cysteine. The lysine-terminated peptide is coupled to the  
alkyl or benzyl halide via a schiff-base reaction of a gluteraldehyde which serves  
25 as a bridge between the halide and the lysine NH<sub>2</sub> group.

**(b) Oligosaccharide and branched hydrocarbons**

One of the most serious problems is the poor adhesion of the nerve-cells to  
the transistor is the solution-derived shunting noise. This obstacle is overcome by  
the creation of intimate neuron-silicon contacts. Hyaluronan, the polysaccharide  
30 part of proteoglycan in the extracellular matrix, facilitate cell migration during

tissue morphogenesis and repair. The glycosaminoglycan repeating unit can be easily inserted via ether linkages to the chromophoric layer on top of the capping layer, see Scheme V.

**Example 7: Electronic effects of adsorbed molecules on transistor**

5        The fundamental action of monolayers absorbed on the semiconductor is studied using C-V measurements and Contact Potential Difference (CPD) measurements using the Kelvin probe technique. The band bending can be estimated from the difference in the semiconductor work-function  $\Phi$  before and after illumination (photosaturation), ref. 12. From the relation,  $\Delta\Phi = \Delta\chi + \Delta V_{bb}$   
10 and the measured values of  $\Delta\Phi$  and  $\Delta V_{bb}$ , the change in the electron affinity,  $\Delta\chi$ , can be calculated. C-V measurements with semitransparent top electrode also provide information on changes of band-bending and electron-affinity. Surface photovoltage spectroscopy (CPD as function of excitation wavelength) provide qualitative information on surface state densities, especially in how far these  
15 changes in response to exposure of the adsorbed molecules to a specific chemical. In the present case this can be due also to one of the membrane proteins of the nerve cell.

Reference is made to Fig. 8 which demonstrates a large molecular electronic effect of chromophore shown in Fig. 5 self-assembled on Si as measured by CPD.  
20 Three graphs are shown corresponding, respectively, to the changes in the semiconductor work-function  $\Delta\Phi$  ( $\Phi$ ), electron affinity  $\Delta\chi$  (EA) and band bending  $\Delta V_{bb}$  (BB) as functions of the density of the voltage sensitive dye P-dialkyl-amino ozobenzene pyridinium on the n-Si wafer.

As shown, the large observed changes in silicon's work-function (ca. -400  
25 mV) is directly proportional to the chromophore number density that is grafted on the Si-wafer. Similar changes in the effective dipole and charge distribution of the monolayer interfacing neuron/MOS device are expected to take place upon neural action potential.

**Example 8: Surface patterning of an electrochemical junction**

In order to form spatially defined organic surfaces with voltage-sensitive dyes and/or synthetic peptides deep UV lithography technique with self-assembled monolayers is used [Ref. 7] This method already proved useful in  
5 micropatterning of glass substrates with OTS/amine domains followed by synthetic peptide assembly and neuron growth.

**III. NEUROBIOLOGY****10 Example 9: Molecules that determine the growth directionality of VSC**

Neuron growth is mediated by a plurality of growth promotive and growth repulsive molecules. This property can be used to direct the growth of the neuron (VSC) to the transistor both for the production of the electrical and of the electrochemical junction of the invention.

15 This will be done by patterning the surfaces of the transistor by alternating micro-strips of growth promoting molecules (for example polylysine) and repulsive molecules such as *colapsin* which rapidly suppress actin-based motility in the growth cone. These molecules direct the growth of the neurons to the junction. These molecules also provide stability and reduce the space between the  
20 neuronal membrane and the silicon surface and in fact serve as binding moieties. There are two distinct classes of cell to cell adhesion molecules which are used as binding moieties where the VSC is a neuron. The calcium dependent and the calcium independent CAMs (cadherins). The CAMs are single-pass transmembrane glycoproteins composed of 700-750 amino acid residues. The  
25 formulation of these bindings, determine the space between cells and the transistor. Some cadherins (such as integrins) mediate (by heterophilic interactions) the attachment of cells membrane to the extracellular matrix (the substrate to which the cell adheres to). The second type of adhesion molecules are the calcium independent molecules belonging to the immunoglobulin (Ig) super  
30 family of proteins. N-CAMs, as cadherins, form homophilic or heterophilic links



– 31 –

with adjacent cells and substrates. The effects of the molecules on the electrical coupling of the hybrid is tested by electrophysiological techniques see (c)below. Molecular signals that provide a stop sign to the extending growth cones. For example agrin and s- laminine provide the “stop sign” in vertebrate neuromuscular synapse. In *Aplysia* ApCam an *Aplysia* adhesion molecule related to vertebrate NCAM and *Drosophila FasciculinII* induces chemical synapses formation in the form of vericosities.

**Example 10: Biocompatibility results**

Glass interfaces self-assembled with voltage sensitive dyes were fabricated and used as a growth substrate *Aplysia* neurons. The neurons successfully grew on such chemically modified interfaces with no adhesion problems demonstrating a fundamental biocompatibility of such chemically modified interfaces for neural growth.

**IV. ELECTROCHEMICAL JUNCTION (ARTIFICIAL SYNAPASE)**

The functional assay of this electrochemical junction is done in two stages:

- (a) The function of the artificial post synaptic chemical component is evaluated by local iontophoretic application of ACh through a microelectrode. Such an application mimics the release of ACh by the nerve terminal.
- (b) Neurons are then cultured on the electrical device and stimulate the pre synaptic neuron and that the current generated by the post synaptic electrical device is measured.

**CLAIMS:**

1. An electrical junction between one transistor and at least one voltage-sensitive cell (VSC) characterized by at least one of the features selected from the group consisting of:

- 5 (i) voltage transfer between the transistor and the VSC is bi-directional;
  - (ii) there is no DC bias between the transistor and the solution containing the VSC;
  - (iii) the VSC is anchored to the external surface of the transistor by binding moieties, optionally through spacers;
  - 10 (iv) the voltage transfer between the membrane of the VSC and the external surface of the transistor, and between the external surface of the transistor and the membrane of the VSC is mediated by hyper-polarizable chromophores;
  - (v) a combination of two or more of the features of (i) to (iv).
- 15 2. A junction according to Claim 1(i), wherein the transistor is a floating gate depletion type device, the VSC being associated with the floating gate and being stimulated by a voltage pulse applied to the source, channel and drain of the transistor.
3. A junction according to Claim 1(ii) wherein the transistor is a depletion type  
20 device.
4. A junction according to Claim 1, wherein the transistor utilizes a semiconductor-based integrated technology.
5. An electrical junction according to Claim 4, wherein the floating gate is shaped such that its one portion is accommodated in an active area defined by an  
25 active component of the transistor, and its other portion is accommodated outside said active area defining a site for the VSC accommodation.
6. An electrical junction according to Claim 5, wherein the transistor comprises a screening metalization layer accommodated in the vicinity of the active area.

- 33 -

7. An electrical junction according to Claim 6, wherein said screening metallization layer is metal of lower chemical activity than the commonly used aluminum.
8. An electrical junction according to Claim 7, wherein said metal is gold.
- 5 9. An electrical junction according to Claim 4, wherein said semiconductor integrated technology is based on Si structures.
- 10 10. An electrical junction according to Claim 4, wherein said semiconductor integrated technology is based on GaAs structures.
11. A device for detecting voltage changes from at least one VSC and/or for the transfer of voltage changes to at least one VSC comprising: the junction of Claim 1, wherein a floating gate of the transistor is electrically connectable to said at least one VSC within an area outside an active area defined by an active component of the transistor.
12. A device according to Claim 11, wherein the electrical connection is capacitance-based connection.
13. A device according to Claim 11, wherein said transistor is a depletion type device, the electrical connection being bi-directional.
14. A device for detecting voltage changes from a plurality of VSCs and/or for the transfer of voltage changes to a plurality of VSCs comprising an array of transistor elements, each transistor element comprising a floating gate depletion type transistor associated with the VSC, and two regular type transistors coupled to source and drain electrodes, respectively, of said floating gate depletion type transistor.
15. A floating gate transistor to be used in a hybrid device for communicating with a VSC, the transistor having an active area defined by its active component, and source and drain electrodes making contacts to the active component, wherein the floating gate has its first portion accommodated in the vicinity of the active area thereabove, and a second portion accommodated outside said active area being displaced therefrom in a plane defined by the active component, said second portion defining a site for the VSC accommodation.

16. A transistor according to Claim 15, further comprising a screening metalization layer accommodated in the vicinity of the active area.

17. A transistor according to Claim 15, further comprising binding moieties located on its external surface within said site.

5 18. A transistor according to Claim 17, wherein the binding moieties are proteins, polypeptides, peptides or lectins immobilized to the external surface of the transistor and capable of binding with membranal components present on the membrane of the VSC.

19. A transistor according to Claim 18, wherein the binding moieties are  
10 selected from the group consisting of: antibodies, receptors, ligands, lectins and adhesion molecules.

20. A junction according to Claim 1(iii), wherein the binding moieties are proteins, polypeptides, peptides or lectins immobilized on the external surface of the transistor and capable of binding to membranal components present on the  
15 membrane of the VSC.

21. A junction according to Claim 20, wherein the binding moieties are selected from the group consisting of: antibodies, receptors, ligands, lectins and adhesion molecules.

22. A junction according to Claim 1(iv), wherein the hyper-polarizable  
20 chromophore is of the general structure A-Z-D, wherein:

A is a mono, bi or tricyclic heteroaryl moiety;

Z is a  $\pi$ -bridging moiety chosen from  $y=y$ , wherein y is CH or N; alkyne, furan, thiophene, pyrrole, styrene, phenyl, biphenyl;

D is B-NR<sub>1</sub>R<sub>2</sub> wherein B is a phenyl, biphenyl or thiophene;

25 R<sub>1</sub> and R<sub>2</sub>, which may be the same or different, are (CH<sub>2</sub>)<sub>n</sub>-R, R being an amino, hydroxyl or a lower alkyl, or R<sub>1</sub> and R<sub>2</sub> may be part of a polycyclic heteroaryl system.

23. A junction according to Claim 22, wherein the hyper-polyrizable chromophore is selected from the group consisting of azobenzene dyes, stilbene  
30 dyes, azomethyne dyes, cyanine, hemicyanine, merocyanine, stylbene, azobenzene,

- 35 -

[5-(1-methyl-thiazolidene-2-ylidene) ethylene]-4-oxo-2-thioxo-thiazolidene-3-5-(4-dimethylacid sodium salt (MMT) 5-(4-Dimethylamino-benzylidene)-rodanine (DBR), thiazolidenes and rodanines.

24. An electrical junction according to Claim 1, characterized by a combination  
5 of features (i), (ii), (iii) and (iv).

25. A transistor having immobilized on its external surface hyper-polarizable chromophores.

26. A transistor according to Claim 25, wherein the hyper-polarizable chromophores are of varying lengths.

10 27. A transistor according to Claim 25, wherein the hyper-polarizable chromophores are attached to spacers of varying lengths

28. A transistor according to Claim 27, wherein the lengths of the spacers vary between 1nm to 30nm.

15 29. A transistor according to Claim 27, wherein the spacers are selected from the group consisting of: oligosaccharides, straight and branched hydrocarbon, polymers and hetero-atom containing molecules.

30. A method for the production of the transistor of Claim 25, comprising the steps of:

- coating the transistor outer layer with a monolayer of  $\text{SiX}_3\text{RX}$  moiety, where  
20 X is a halogen and R is a  $(\text{CH}_2)_n$   $n = 1-18$  or  $(\text{CH}_2)_n\text{aryl}$ ,  $n = 1-6$  group thus forming a halogen terminated monolayer;
- reacting said halogen terminated monolayer with a hyper-polarizable chromophore or chromophore precursor; and
- optionally further attaching to the outerlayer of the hyper-polarizable  
25 chromophore a siloxane derivative or a peptide having 5-20 amino acids.

31. A method for the production of the transistor of Claim 17, comprising the steps of:

- coating the transistor outer layer with a monolayer of  $\text{SiX}_3\text{RX}$  moiety, where  
30 X is a halogen and R is a  $(\text{CH}_2)_n$   $n = 1-18$  or  $(\text{CH}_2)_n\text{aryl}$ ,  $n = 1-6$  group thus forming a halogen terminated monolayer;

- 36 -

- optionally converting said terminal halogen to another chemical reactive moiety such as amine, maleimide, succinimide or hydroxyl;
- reacting said chemical reactive terminated monolayer with the binding moiety under conditions enabling formation of a covalent binding between the binding moiety and the halogen.

32. An electrochemical junction between an agent-secreting cell and a transistor comprising:

the agent-secreting region of the cell positioned at an orientation enabling transfer of the agent to a location on the surface of the transistor, said location having immobilized thereon recognition moieties capable of affinity binding to said agents; said binding between the recognition moiety and the agent causing the modulation of at least one electronic property of the transistor; said location further comprising catalytic moieties capable of degradation of said agent.

33. An electrochemical junction according to Claim 32, wherein the electronic property is capacitance.

34. An electrochemical junction according to Claim 32, wherein the electronic property is change in dipole movement.

35. An electrochemical junction according to Claim 32, wherein the cell is a neuron, the secreted agent is a neurotransmitter and the agent secreting region of the cell is the pre-synaptic region of the neuron.

36. An electrochemical junction according to Claim 35, wherein the neurotransmitter is acetyl choline and the catalytic moiety is acetyl choline esterase.

1/7

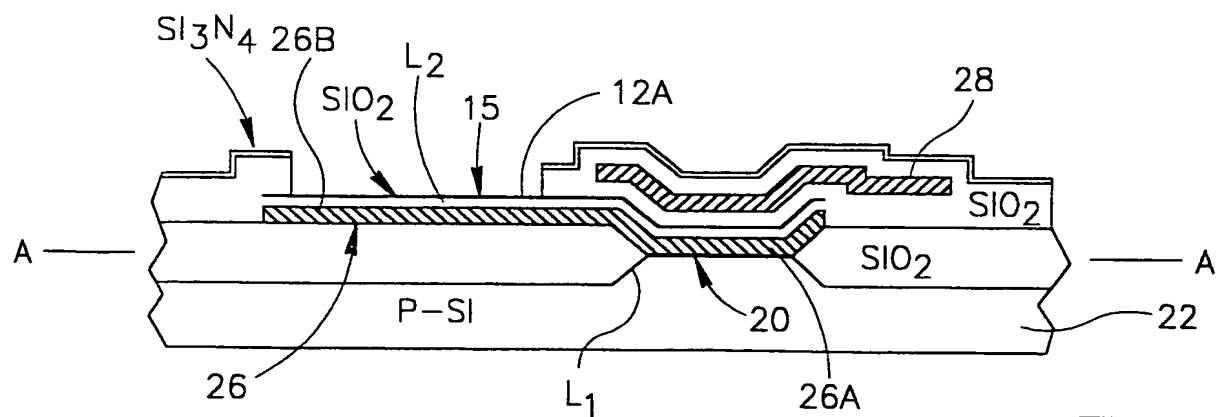
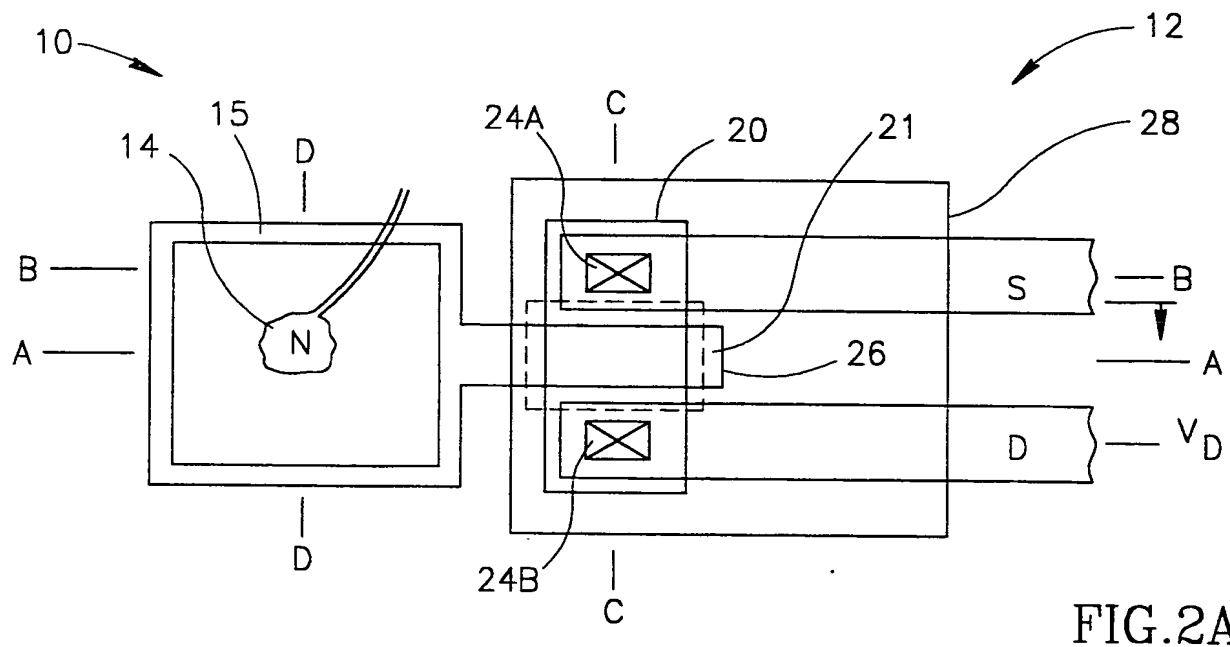
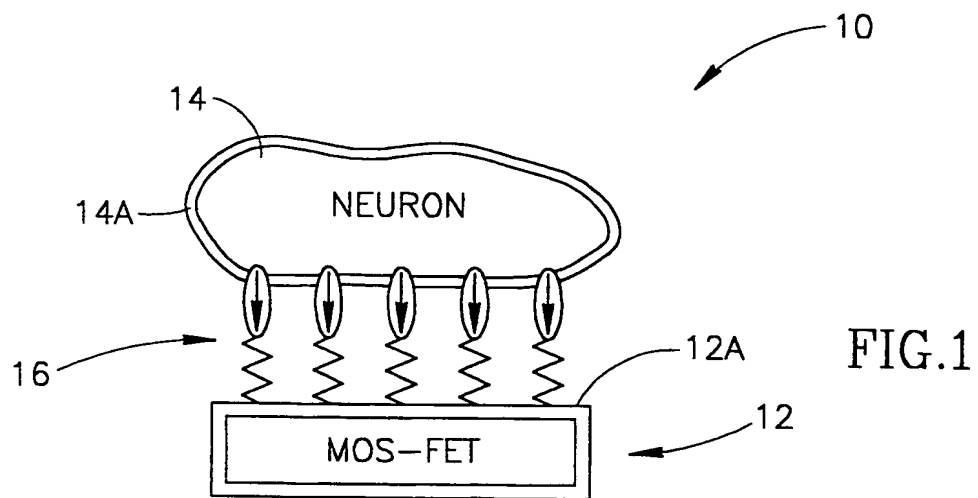


FIG. 2B

2/7

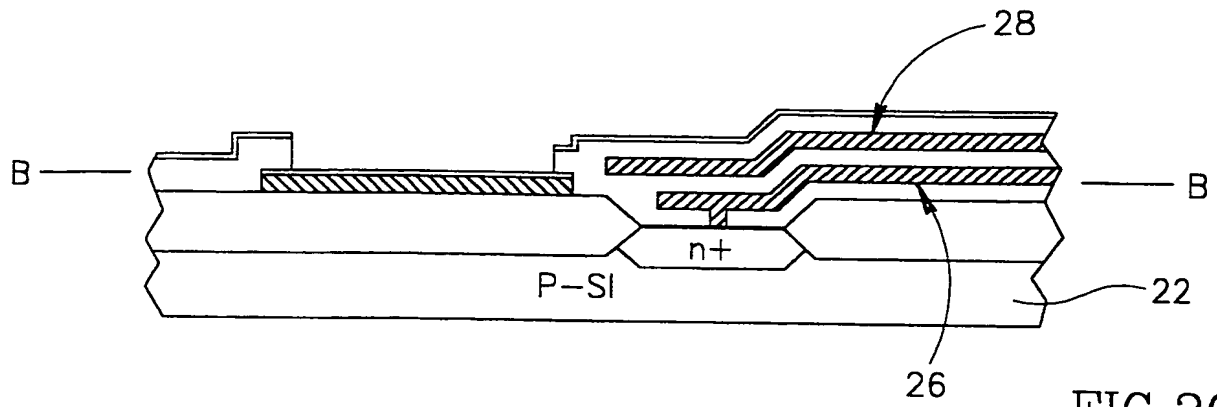


FIG. 2C

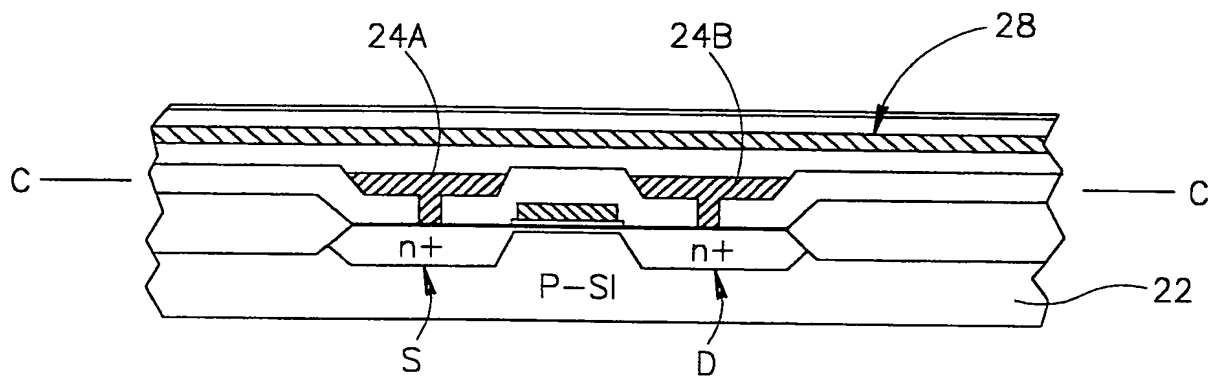


FIG. 2D

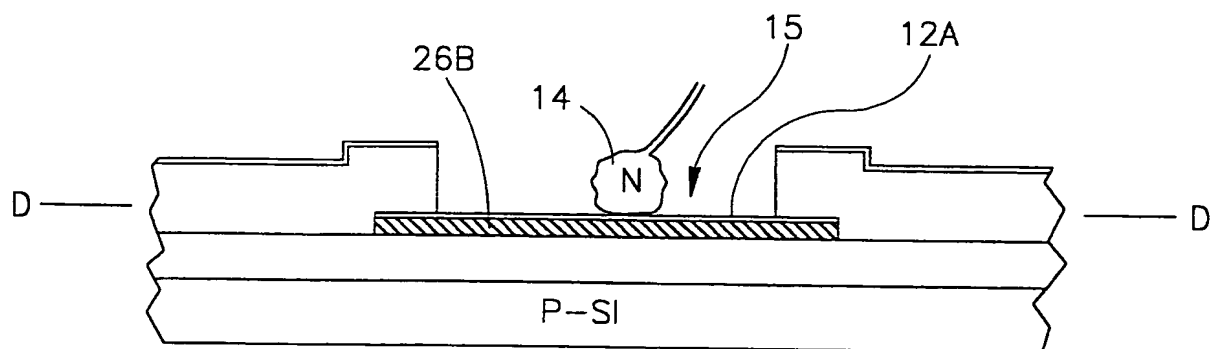


FIG. 2E



3/7

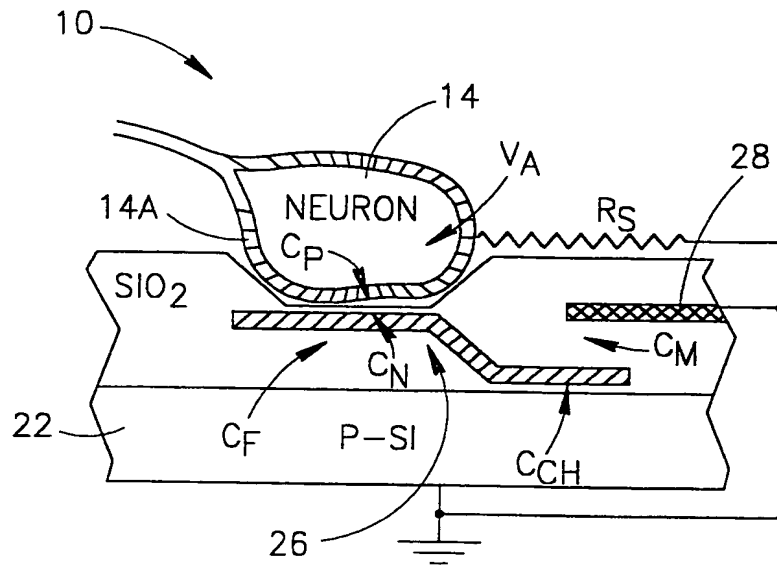


FIG.3A

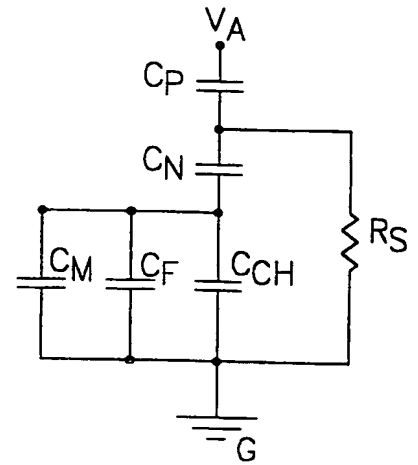


FIG.3B

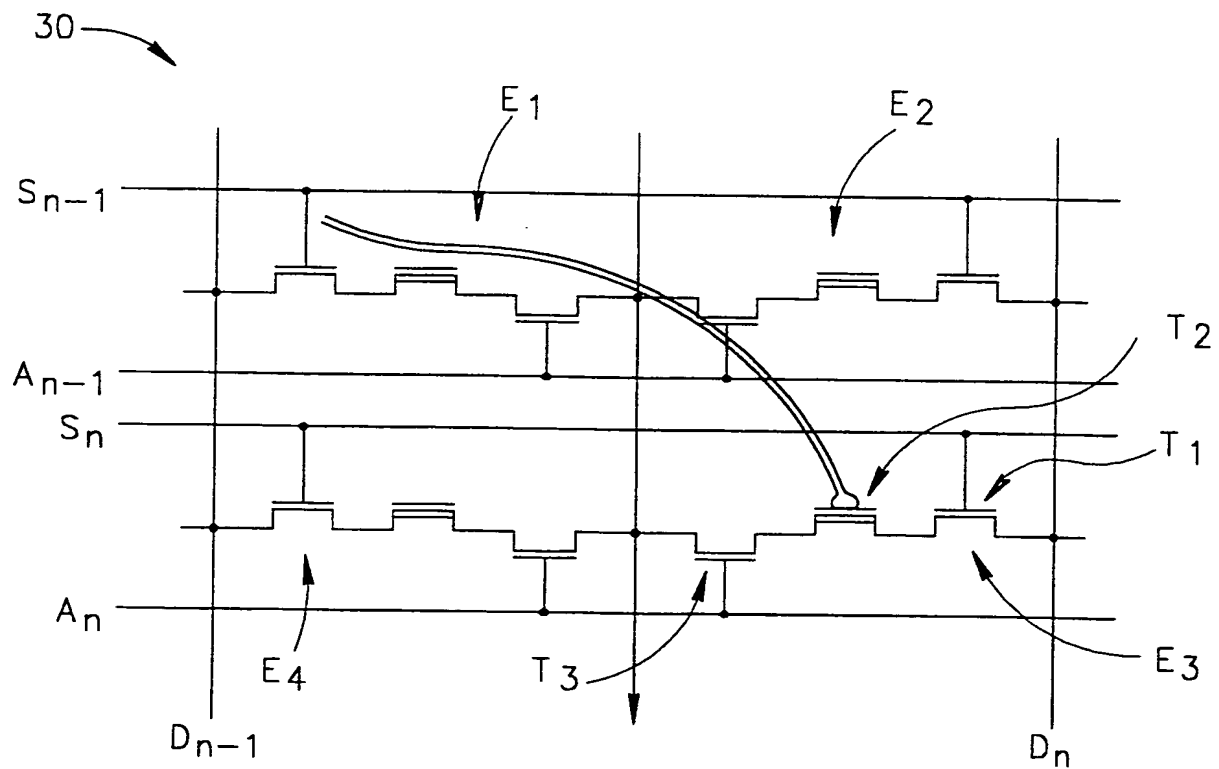


FIG.4

4/7

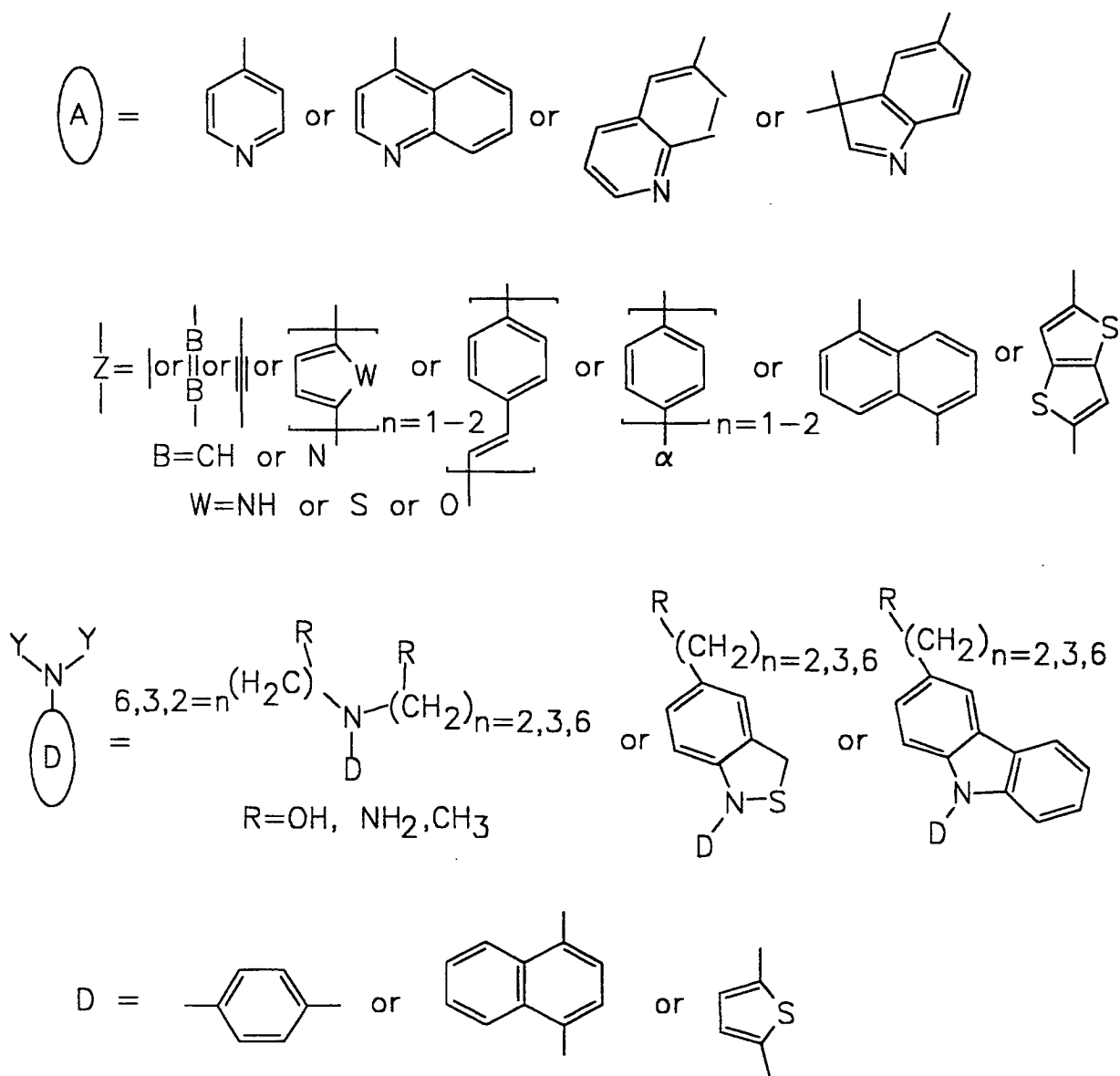


FIG.5

5/7

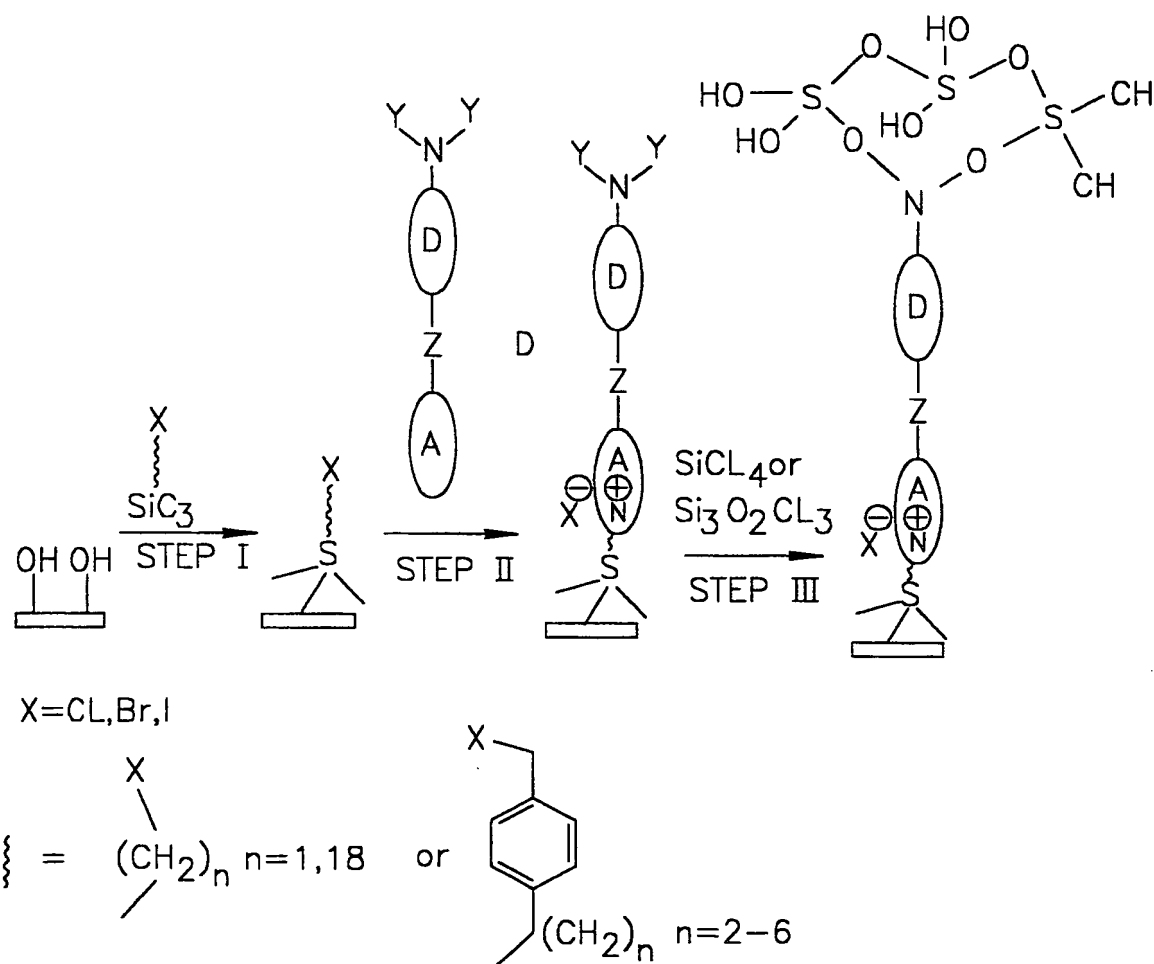


FIG.6

6/7

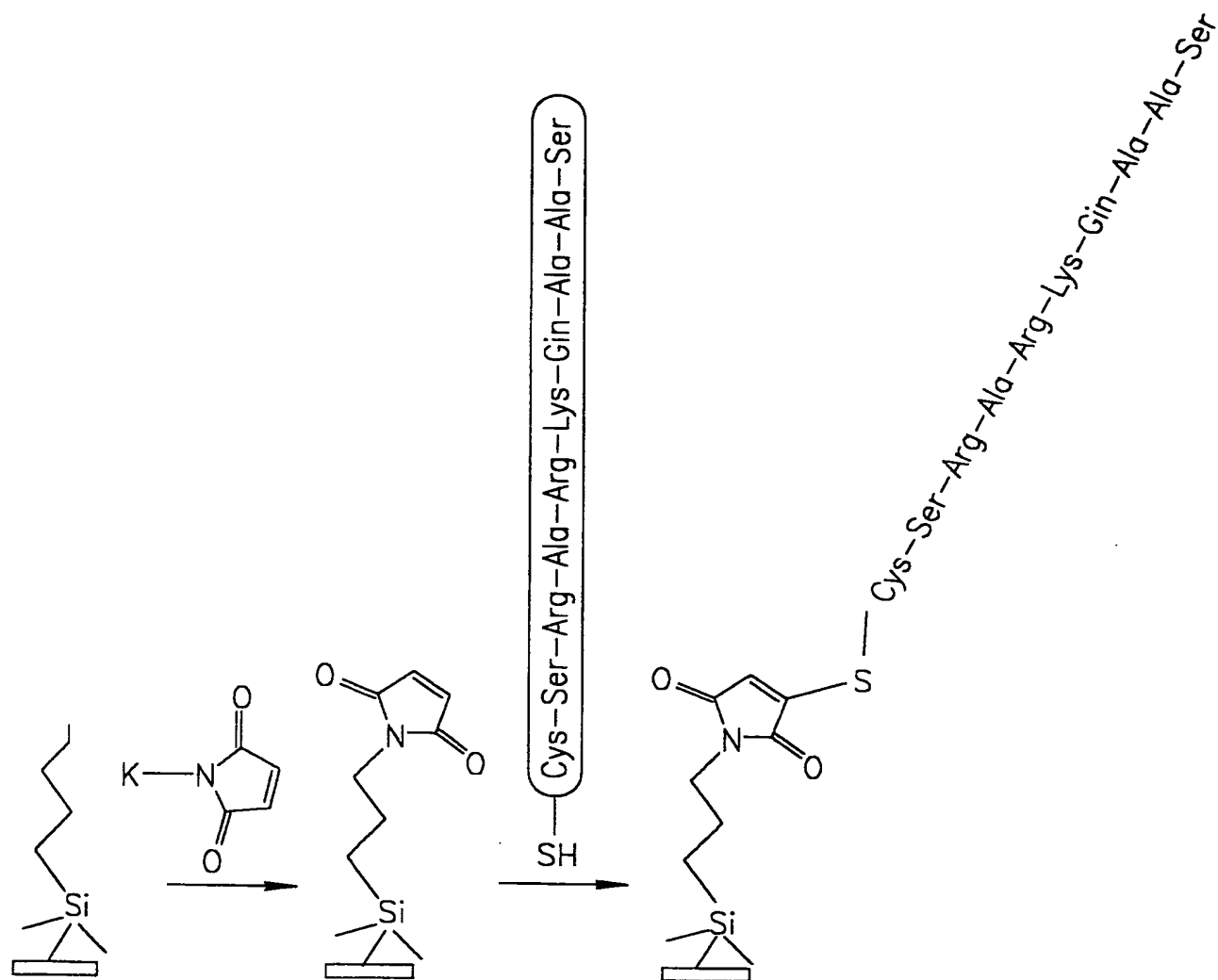


FIG. 7

7/7

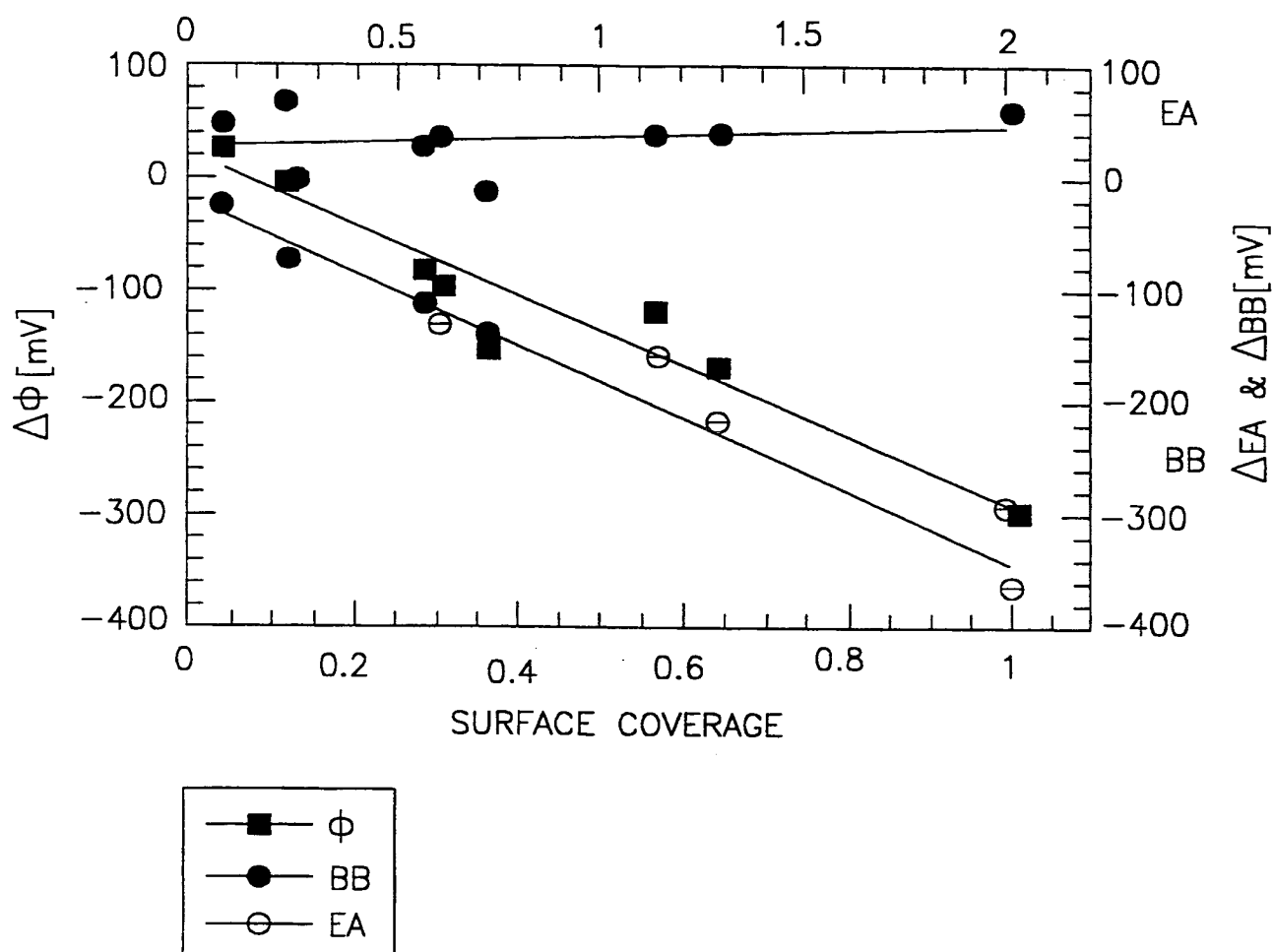


FIG.8

# INTERNATIONAL SEARCH REPORT

Int'l. Application No

PCT/IL 00/00112

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H01L51/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01L A61B G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>FROMHERZ P ET AL: "A NEURON-SILICON JUNCTION: A RETZIUS CELL OF THE LEECH ON AN INSULATED-GATE FIELD-EFFECT TRANSISTOR" SCIENCE,US,AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE,, vol. 252, 31 May 1991 (1991-05-31), pages 1290-1293, XP000574048 ISSN: 0036-8075 the whole document</p> <p style="text-align: center;">--- -/-</p>	

☒ Further documents are listed in the continuation of box C.

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Date of the actual completion of the international search

31 May 2000

Date of mailing of the international search report

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>OFFENHAEUSSER A ET AL: "NEURON-SILICON JUNCTION: ELECTRICAL RECORDINGS FROM NEURAL CELLS CULTURED ON MODIFIED MICROELECTRONIC DEVICE SURFACES" ANNUAL INTERNATIONAL CONFERENCE OF THE IEEE ENGINEERING IN MEDICINE AND BIOLOGY SOCIETY,US,NEW-YORK, NY: IEEE,1997, pages 307-308, XP000857133 ISBN: 0-7803-3812-X the whole document</p> <p style="text-align: center;">---</p>	
A	<p>OFFENHAEUSSER A ET AL: "FIELD-EFFECT TRANSISTOR ARRAY FOR MONITORING ELECTRICAL ACTIVITY FROM MAMMALIAN NEURONS IN CULTURE" BIOSENSORS &amp; BIOELECTRONICS,GB,ELSEVIER SCIENCE PUBLISHERS, BARKING, vol. 12, no. 8, 1997, pages 819-826, XP000862912 ISSN: 0956-5663 the whole document</p> <p style="text-align: center;">---</p>	
A	<p>OFFENHAEUSSER A ET AL: "Neuronal cells cultured on modified microelectronic device surfaces" JOURNAL OF VACUUM SCIENCE AND TECHNOLOGY: PART A,US,AMERICAN INSTITUTE OF PHYSICS. NEW YORK, vol. 13, no. 5, 1 September 1995 (1995-09-01), pages 2606-2612, XP000550452 ISSN: 0734-2101 cited in the application the whole document</p> <p style="text-align: center;">---</p>	
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A	<p>STETT A ET AL: "Two-way silicon-neuron interface by electrical induction" PHYSICAL REVIEW E (STATISTICAL PHYSICS, PLASMAS, FLUIDS, AND RELATED INTERDISCIPLINARY TOPICS), FEB. 1997, APS THROUGH AIP, USA, vol. 55, no. 2, pages 1779-1782, XP000913528 ISSN: 1063-651X cited in the application the whole document</p> <p style="text-align: center;">-----</p>	

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Information on patent family members

International Application No

PCT/IL 00/00112

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